

THIS IS THE BEGINN	ING OF ADM	INISTRATIVE FINE CASE # 233 4
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March 10, 2011

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#### **MEMORANDUM**

TO:

The Commission

THROUGH:

Alec Palmer

**Acting Staff Director** 

FROM:

Patricia Carmona

Chief Compliance Officer

Debbie Chacona IIC
Assistant Staff Director
Reports Analysis Division

BY:

Jodi Winship/Sari Pickerall@

Compliance Branch

SUBJECT:

Withdrawal and Resubmission of Reason To Believe Recommendation -

2010 12 Day Pre-General Report (Unauthorized Quarterly Filers) for the

Administrative Fine Program

We are withdrawing the document circulated to the Commission on March 9, 2011 in order to remove a monthly filing committee which was included on the list in error. The committee was previously included on the Reason to Believe Recommendation for the 2010 12 Day Pre-General Report (Unauthorized Monthly Filers) which was approved by the Commission on February 2, 2011.

Attached is a list of political committees and their treasurers who failed to file or timely file the 2010 12 Day Pre-General Report in accordance with 2 U.S.C. § 434(a). The list is comprised of unauthorized quarterly filers that made General Election contributions or expenditures from October 1, 2010 through October 13, 2010, and failed to file or timely file the 2010 12 Day Pre-General Report.

The committees appearing on the 2010 12 Day Pre-General Report list failed to file the report prior to four (4) days before the General Election (considered a non-filed report). In accordance with 11 C.F.R. § 111.43, these committees should be assessed the civil money penalties indicated in the attachments.

As noted above, the 2010 12 Day Pre-General Report circulation list includes unauthorized quarterly filers that made General Election contributions or expenditures from Outober 1, 2010 through October 13, 2010, but failed to file nr timely file the 2010 12 Day Pre-General Election report. In order to accurately identify these committees for administrative fine purposes, the Reports Analysis Division (RAD) used the following procedures and criteria:

- Every 12 Day Pre-General Report (12G) submitted by an unauthorized quarterly filer was reviewed to ensure that it disclosed General Election contributions or expenditures made during the coverage dates of the report. Any report meeting this definition that was filed late is included in the list. Any report not disclosing general election contributions or expenditures during this period is not included in the list, as it is not a required fifing.
- Every 30 Day Post-General Report (30G) submitted by an unauthorized quatterly filer that covered the period from October 1, 2010 through November 22, 2010 (53 days) was reviewed for activity which would have required the filing of a 12G. If our research indicated that a 12G was required, we utilized a three-step method to arrive at the activity on which to base the 12G fine amount. First, we took the sum of all itemized receipts and disbursements that should have been disclosed on a 12G. Second, if the committee had any unitemized activity, a per diem level of this activity was calculated by multiplying the total amount of unitemized activity on the report by 25% (13 days (12G Filing Period)/53 days (30G Filing Period)). Third, we took the sum of the amounts calculated in steps one and two to arrive at the level of activity assigned to the 12G.

#### Recommendation

- 1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Reports violated 2 U.S.C. § 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Reports.
- 2. Send the appropriate letters.

Federal Election Commission Reason to Believe Circulation Report

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2010 PRE-GENERAL Election Sensitive 10/21/2010 UNAUTH Q

RTB Penalty	\$687	\$550	\$550	.289\$	\$1,400	\$660	\$550	\$825	\$550	\$550	
LOA	\$3,612	\$3,338	\$1,000	\$1,396	\$39,600	\$7,606	\$2,454	\$7,679	\$2,700	\$4,500	•
Days Late	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	
Receipt Date	1/28/2011	11/29/2010	12/10/2010	12/7/2010	12/28/2010	11/30/2010	12/2/2010	12/2/2010	12/3/2010	11/29/2010	
₹	-	0	0	-	. 0	0	0	-	0	0	
Threshold	\$361,085	\$138,267	\$148,877	\$197,125	\$141,900	\$223,788	\$188,393	\$1,113,002	\$225,302	\$161,311	
Treasurer	DAVID DODSON	KATHRYN J. BARNETT	TRACY TODD	MICHELLE LYNN CHANDLER	JOSEPH P. DWYER	JOHN KOENIG	LANNY GRIFFITH	7:	RICK DARNOLD	M. B. OGLESBY	
Candidate Name									·		
Committee Name	ACE CASH EXPRESS INC PAC	ALLIANT ENERGY CORPORATION EMPLOYEE'S POLITICAL ACTION COMM	AMERICAN ASSN. FOR MARRIAGE & FAMILY THERAPY COMM. ADVNCMNT OF MARITAL & FAMILY THERAPY	AMERICAN PUBLIC POWER ASSOCIATION, PUBLIC OWNERSHIP OF ELECTRIC RESOURCES PAC	ASPLUNDH TREE EXPERT CO POLITICAL ACTION COMMITTEE (ATE PAC)	BARNES & THORNBURG POLITICAL ACTION COMMITTEE	BGR PAC	BORDER HEALTH FEDERAL PAC	BOYD GAMING POLITICAL ACTION COMMITTEE	BURSON- MARSTELLER/YOUNG & RUBICAM POLITICAL ACTION COMMITTEE	
Committee ID	C00392290	C00132092	C00198259	2305 C00161570	C00177741	C00395947	C00359588	C00415752	C00142315	2311 C00201863	
AF#	2301	2302	2303	2305	2306	2307	2308	2309	2310	2311	

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AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	₽	Receipt Date	Days Late	LOA	RTB Penalty
2313	C00455680	COMMONWEALTH BRANDS INC. EMPLOYEE PAC		MARY LOVEALL	\$233,392	0	12/2/2010	Not Filed	\$25,180	\$1,400
2314	C00218172	CONSERVATIVE VICTORY COMMITTEE		LEIF E. NOREN	\$505,566	0	12/6/2010	Not Filed	\$3,379	\$550
2315	C00407635	CONSOLIDATED EDISON INC EMPLOYEES' POLITICAL ACTION COMMITTEE (CEIPAC)		ROBERT	\$317,230	0	12/8/2010	Not Filed	\$6,100	099\$
2316	C00480228	CONTINUING AMERICA'S STRENGTH AND SECURITY PAC		RALPH J. STEPHENS	\$228,942	0	1/31/2011	Not Filed	\$9,640	099\$
2317	C00462630	COVINGTON AND BURLING LLP PAC		RODERICK A. DEARMENT, ESQ.	\$551,969	0	12/1/2010	Not Filed	\$16,574	066\$
2318	C00078535	DIRECT SELLING ASSOCIATION POLITICAL ACTION COMMITTEE		JOSEPH N. MARIANO	\$107,278	0	1/4/2011	Not Filed	\$9,500	\$660
2319	C00380303	EMERGENT BIOSOLUTIONS INC EMPLOYEES PAC		THOMAS	\$325,615	0	12/3/2010	Not Filed	\$7,102	\$660
2320 30	C00462879	EXPEDIA INC POLITICAL ACTION COMMITTEE		BRENT THOMPSON	\$129,676	0	12/7/2010	Not Filed	\$1,012	\$550
2321	C00362178	FAMILY-PAC FEDERAL		D. PAUL CAPRIO	\$163,566	1	12/27/2010	Not Filed	\$7,331	\$825
2322	C00400317	FULTON FINANCIAL CORPORATION PAC		MICHAEL J. DEPORTER	\$135,428	0	12/23/2010	Not Filed	\$5,208	099\$
2323	C00344754	GIBSON DUNN & CRUTCHER LLP PAC		CHARLES E. WOODHOUSE	\$237,752	0	12/1/2010	Not Filed	\$5,000	099\$
2324	C00437798	HEARPAC OF HEARING INDUSTRIES ASSOCIATION		JEROME RUZICKA	\$266,830	0	1/4/2011	Not Filed	\$3,500	\$550
2325	C00264689	ILLINOIS POLITICAL ACTIVE LETTER CARRIERS		JACK HENIFF	\$169,111	0	11/24/2010	Not Filed	\$5,411	\$660
2326	C00082636	INDIANA DENTAL PAC		RAYMOND	\$179,678	0	12/3/2010	Not Filed	\$5,768	099\$
2327	C00084822	INTERNATIONAL ASSOCIATION OF HOLIDAY INNS INN-PAC		EVA FERGUSON	\$181,666	0	12/2/2010	Not Filed	\$6,755	\$660
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AF# C	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	<b>≥</b>	Receipt Date	Days Late	LOA	RTB Penalty
Ö	C00382226	INTERNATIONAL SECURITIES EXCHANGE PAC		BRUCE COOPERMAN	\$125,227	0	1/5/2011	Not Filed	\$10,500	086\$
၁	C00136739	IUOE OPERATING ENGINEERS		JAMES JONES	\$634,513	0	12/8/2010	Not Filed	\$43,040	\$1,400
2330 C	C00370791	MAJORITY INITIATIVE TO KEEP ELECTING REPUBLICANS FUND A.K.A MIKE R FUND		ROBERT F. CARLIN	\$902,801	. 0	12/2/2010	Not Filed	\$32,194	\$1,400
<u> </u>	C00086348	NATIONAL ASSOCIATION FOR UNIFORMED SERVICES PAC		MICHAEL F. HARRIS	\$230,759	-	11/30/2010	Not Filed	\$4,884	289\$
0	C00254201	NATIONAL ASSOCIATION OF MORTGAGE BROKERS		RYAN C. RIESTERER	\$146,153	0	12/2/2010	Not Filed	\$5,000	089 <b>\$</b>
2333 C	C00450916	NEW ASURION CORP EMPLOYEES FEDERAL POLITICAL ACTION COMMITTEE		MIKE AIN	\$309,448	0	12/2/2010	Not Filed	\$2,500	\$550
2334 (	C00443523	NEWFIELD EXPLORATION COMPANY POLITICAL ACTION COMMITTEE (NEWFIELD PAC)		SUSAN G. RIGGS	\$374,665	0	12/1/2010	Not Filed	\$42,500	\$1,400
<u> </u>	C00347112	PMI MORTGAGE INSURANCE COMPANY FEDERAL PAC		RAY CHANG	\$121,518	0	12/3/2010	Not Filed	\$13,000	066\$
2336	C00246738	SEABOARD CORPORATION POLITICAL ACTION COMMITTEE		DAVID M. DANNOV	\$147,906	0	12/29/2010	Not Filed	\$2,439	\$550
<u> </u>	C00459065	STINSON MORRISON HECKER POLITICAL ACTION COMMITEE PAC		PHILLIP L. FRAAS	\$177,723	0	12/8/2010	Not Filed	\$2,250	\$550
2338 C	C00278788	SUFFOLK COUNTY DETECTIVES ASSN INC., POLITICAL ACTION COMMITTEE		WILLIAM RATHJEN	\$146,579	1	12/26/2010	Nat Filed	\$15,961	\$1,237
2339 C	C00009357	TNE RECORDING INDUSTRY ASSOCIATION OF AMERICA POLITICAL ACTION COMMITTEE		MITCH GLAZIER	\$221,435	0	1/10/2011	Not Filed	\$17,500	086\$
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3/10/2011 1:05 PM	RTB Penalty	\$1,400	099\$	\$550
3/10/20/	LOA	\$36,619	\$6,493	\$3,346
	Days Late	Not Filed	Not Filed	Not Filed
0 4	Threshold PV Receipt Date Days Late	12/6/2010	12/2/2010	12/3/2010
)	₽	0	0	0
. : N	Threshold	\$1,742,433	\$251,677	\$138,702
	Treasurer	SUSAN SHERWOOD	ROBERT	KATHLEEN M. SGAMMA
	Candidate Name			
	Committee Name	UNITEDHEALTH GROUP INCORPORATED PAC (UNITED FOR HEALTH)	WATERPAC - NATIONAL RURAL WATER ASSOCIATION POLITICAL COMMITTEE	WESTERN ENERGY ALLIANCE PAC
•	AF#, Committee	2340 C00274431	2341 C00Z02184	2342 C00426569
: -	AF#	2340	2341	2342

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#### BEFORE THE FEDERAL ELECTION COMMISSION

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In the Matter of	)
Withdrawal and Resubmission of Reason	<b>'</b>
To Believe Recommendation - 2010 12 Day	<b>`</b>
Pre-General Report (Unauthorized	<b>\</b>
Quarterly Filers) for the Administrative Fine	1
Program:	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
ACE CASH EXPRESS INC PAC, and	) AF# 2301
DAVID DODSON as treasurer;	) 111 # 2501
ALLIANT ENERGY CORRORATION	) AF# 2302
EMPLOYEE'S POLITICAL ACTION	) 74 11 2502
COMM, and BARNETT, KATHRYN J as	<b>\</b>
treasurer;	<b>\</b>
AMERICAN ASSN. FOR MARRIAGE &	) AF# 2303
FAMILY THERAPY COMM.	) AI# 2505
ADVNCMNT OF MARITAL & FAMILY	<b>,</b>
THERAPY, and TODD, TRACY as	,
treasurer;	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
AMERICAN PUBLIC POWER	) AF# 2305
ASSOCIATION, PUBLIC OWNERSHIP	) 144 2505
OF ELECTRIC RESOURCES PAC, and	<b>\</b>
CHANDLER, MICHELLE LYNN as	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
treasurer;	). )
ASPLUNDH TREE EXPERT CO	) AF# 2306
POLITICAL ACTION COMMITTEE	) 24 7 2500
(ATE PAC), and DWYER, JOSEPH P as	{
treasurer;	, ,
BARNES & THORNBURG POLITICAL	) AF# 2307
ACTION COMMITTEE, and KOENIG,	) AL# 2301
JOHN as treasurer:	{
BGR PAC, and GRIFFITH, LANNY as	) AF# 2308
treasurer:	) ALT 2500
BORDER HEALTH FEDERAL PAC, and	) AF# 2309
•	) AT# 2303
PEREZ, ERNIE as treasurer; BOYD GAMING POLITICAL ACTION	) AF# 2310
	) Ar# 2310
COMMITTEE, and DARNOLD, RICK as	,
treasurer;	)   AE# 0211
BURSON-MARSTELLER/YOUNG &	) AF# 2311
RUBICAM POLITICAL ACTION	,
COMMITTEE, and OGLESBY, M. B. as	,
treasurer;	)

COMMONWEALTH BRANDS INC.	) AF# 2313
EMPLOYEE PAC, and MARY, LOVEALL	)
as treasurer;	j
CONSERVATIVE VICTORY	) AF# 2314
COMMITTEE, and LEIF E NOREN as	)
treasurer;	j
CONSOLIDATED EDISON INC	) AF# 2315
EMPLOYEES' POLITICAL ACTION	j
COMMITTEE (CEIPAC), and MIJCCILO,	j
ROBERT as treasurer;	)
CONTINUING AMERICA'S STRENGTH	) AF# 2316
AND SECURITY PAC, and STEPHENS,	)
RALPH J. as treasurer;	)
COVINGTON AND BURLING LLP PAC,	) AF# 2317
and DEARMENT, RODERICK A. ESQ. as	)
treasurer;	)
DIRECT SELLING ASSOCIATION	) AF# 2318
POLITICAL ACTION COMMITTEE, and	)
MARIANO, JOSEPH N. as treasurer;	)
EMERGIINT BIOSOLUTIONS INC	) AF# 2319
EMPLOYEES PAC, and MORAN,	) .
THOMAS as treasurer;	)
EXPEDIA INC POLITICAL ACTION	) AF# 2320
COMMITTEE, and BRENT THOMPSON	)
as treasurer;	)
FAMILY-PAC FEDERAL, and D PAUL	) AF# 2321
CAPRIO as treasurer;	)
FULTON FINANCIAL CORPORATION	) AF# 2322
PAC, and MICHAEL J DEPORTER as	)
treasurer;	)
GIBSON DUNN & CRUTCHER LLP	) AF# 2323
PAC, and CHARLES E WOODHOUSE ar	)
treasurer;	)
HEARPAC OF HEARING INDUSTRIES	) AF# 2324
ASSOCIATION, and RUZICKA, JEROME	)
as treasurer;	)
ILLINOIS POLITICAL ACTIVE LETTER	) AF# 2325
CARRIERS, and HENIFF, JACK MR. as	)
treasurar;	)
INDIANA DENTAL PAC, and MADDOX,	) AF# 2326
RAYMOND as treasurer;	)

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INTERNATIONAL ASSOCIATION OF	) AF# 2327
HOLIDAY INNS INN-PAC, and	)
FERGUSON, EVA MS as treasurer;	)
INTERNATIONAL SECURITIES	) AF# 2328
EXCHANGE PAC, and COOPERMAN,	) .
BRUCE as treasurer;	)
IUOE OPERATING ENGINEERS, and	) AF# 2329
JAMES JONES as treasurer;	)
MAJORITY INITIATIVE TO KEEP	) AF# 2330
ELECTING REPUBLICANS FUND A.K.A	)
MIKE R FUND, and CARLIN, ROBERT F	)
as treasurer;	)
NATIONAL ASSOCIATION FOR	) AF# 2331
UNIFORMED SERVICES PAC, and	)
HARRIS, MICHAEL F. as treasurer;	)
NATIONAL ASSOCIATION OF	) AF# 2332
MORTGAGE BROKERS, and	)
RIESTERER, RYAN C. as treasurer;	)
NEW ASURION CORP. EMPLOYEES	) AF# 2333
FEDERAL POLITICAL ACTION	)
COMMITTEE, and AIN, MIKE as	)
treasurer;	)
NEWFIELD EXPLORATION COMPANY	) AF# 2334
POLITICAL ACTION COMMITTEE	)
(NEWFIELD PAC), and RIGGS, SUSAN	)
G. MRS. as treasurer;	)
PMI MORTGAGE INSURANCE	) AF# 2335
COMPANY FEDERAL PAC, and	)
CHANG, RAY as treasurer;	)
SEABOARD CORPORATION	) AF# 2336
POLITICAL ACTION COMMITTEE, and.	)
DAVID M DANNOV as treasurer;	)
STINSON MORRISON HECKER	) AF# 2337
POLITICAL ACTION COMMITTEE PAC,	)
and PHILLIP L FRAAS as treasurer;	)
SUFFOLK COUNTY DETECTIVES	) AF# 2338
ASSN INC., POLITICAL ACTION	)
COMMITTEE, and WILLIAM RATHJEN,	)
TREASURER as treasurer;	)
THE RECORDING INDUSTRY	) AF# 2339
ASSOCIATION OF AMERICA	)
POLITICAL ACTION COMMITTEE, and	)
GLAZIER, MITCH as treasurer;	)

Federal Election Commission Certification for Administrative Fines March 11, 2011

UNITEDHEALTH GROUP	) AF# 2340
INCORPORATED PAC (UNITED FOR	)
HEALTH), and SHERWOOD SUSAN as	)
treasurer;	) .
WATERPAC - NATIONAL RURAL	) AF# 2341
WATER ASSOCIATION POLITICAL	) .
COMMITTEE, and JOHNSON, ROBERT	)
as treasurer;	)
WESTERN ENERGY ALLIANCE PAC,	) AF# 2342
and SGAMMA, KATHLEEN M as	)
treasurer:	)

#### CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on March 11, 2011 the Commission took the following actions on the Withdrawal and Resubmission of Reason To Believe Recommendation - 2010 12 Day Pre-General Report (Unauthorized Quarterly Filers) for the Administrative Fine Program as recommended in the Reports Analysis Division's Memorandum dated March 10, 2011, on the following committees:

Decided by a vote of 6-0 to: (1) find reason to believe that ACE CASH **AF#2301** EXPRESS INC PAC, and DAVID DODSON as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) find reason to believe that ALLIANT **AF#2302** ENERGY CORPORATION EMPLOYEE'S POLITICAL ACTION COMM, and BARNETT, KATHRYN J as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report: (2) send the appropriate letter, Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2303 Decided by a vote of 6-0 to: (1) find reason to believe that AMERICAN ASSN. FOR MARRIAGE & FAMILY THERAPY COMM. ADVNCMNT OF

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Federal Election Commission Certification for Administrative Fines March 11, 2011

MARITAL & FAMILY THERAPY, and TODD, TRACY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) nend the appropriate letter. Commissioners Bauerly, Hunter, McGalm II, Petersen, Walther, and Weintmub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) find reason to believe that AMERICAN AF#2305 PUBLIC POWER ASSOCIATION, PUBLIC OWNERSHIP OF ELECTRIC RESOURCES PAC, and CHANDLER, MICHELLE LYNN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the deoision.

AF#2306 Decided by a vote of 6-0 to: (1) find reason to believe that ASPLUNDH TREE EXPERT CO POLITICAL ACTION COMMITTEE (ATE PAC), and DWYER, JOSEPH P as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2307 Ducided by a vote of 6-0 to: (1) find reason to believe that BARNES & THORNBURG POLITICAL ACTION COMMITTEE, and KOENIG, JOHN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) sond the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) find reason to believe that BGR PAC, and GRIFFITH, LANNY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub vnted affirmatively for the decision.

**AF#2309** Decided by a vote of 6-0 to: (1) find reason to believe that BORDER HEALTH FEDERAL PAC, and PEREZ, ERNIE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2310 Decided by a vote of 6-0 te: (1) find reason to believe that BOYD GAMING POLITICAL ACTION COMMITTEE, and DARNOLD, RICK as treasmer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter.

Federal Election Commission Certification for Administrative Fines March 11, 2011

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2311 Decided by a vote of 6-0 to: (1) find reason to believe that BURSON-MARSTELLER/YOUNG & RUBICAM POLITICAL ACTION COMMITTEE, and OGLESBY, M. B. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2313 Decided by a vote of 6-0 to: (1) find reason to believe that COMMONWEALTH BRANDS INC. EMPLOYEE PAC, and MARY, LOVEALL as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2314 Decided by a vote of 6-0 to: (1) find reason to believe that CONSERVATIVE VICTORY COMMITTEE, and LEIF E NOREN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2315 Decided by a vote of 6-0 to: (1) find reason to believe that CONSOLIDATED EDISON INC EMPLOYEES' POLITICAL ACTION COMMITTEE (CEIPAC), and MUCCILO, ROBERT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2316 Decided by a vote of 6-0 to: (1) find reason to believe that CONTINUING AMERICA'S STRENGTH AND SECURITY PAC, and STEPHENS, RALPH J. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2317 Decided by a vote of 6-0 to: (1) find reason to believe that COVINGTON AND BURLING LLP PAC, and DEARMENT, RODERICK A. RSQ. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indinated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2318 Decided by a vote of 6-0 to: (1) find reason to believe that DIRECT SELLING ASSOCIATION POLITICAL ACTION COMMITTEE, and MARIANO, JOSEPH N. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGalm II, Petersen, Walther, and Weintranb voted affirmatively for the decision.

AF#2319 Decided by a vote of 6-0 to: (1) find reason to believe that EMERGENT BIOSOLUTIONS INC EMPLOYEES PAC, and MORAN, THOMAS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2320 Decided by a vote of 6-0 to: (1) find reason to believe that EXPEDIA INC POLITICAL ACTION COMMITTEE, and BRENT THOMPSON as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2321 Decided by a vote of 6-0 to: (1) find reason to believe that FAMILY-PAC FEDERAL, and D PAUL CAPRIO as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2322 Decided by a vote of 6-0 to: (1) find reason to believe that FULTON FINANCIAL CORPORATION PAC, and MICHAEL J DEPORTER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2323 Decided by a vote of 6-0 to: (1) find reason to believe that GIBSON DUNN & CRUTCHER LLP PAC, and CHARLES if WOODHOUSE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter.

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Federal Election Commission Certification for Administrative Fines March 11, 2011

Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2324 Decided by a vote of 6-0 to: (1) find reason to believe that HEARPAC OF HEARING INDUSTRIES ASSOCIATION, and RUZICKA, JEROME as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2325 Decided by a vote of 6-0 to: (1) find reason to believe that ILLINOIS POLITICAL ACTIVE LETTER CARRIERS, and HENIFF, JACK MR. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) find reason to believe that INDIANA **AF#2326** DENTAL PAC, and MADDOX, RAYMOND as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioner Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintmub voted affirmatively for the decision.

AF#2327 Decided by a vote of 6-0 to: (1) find reason to believe that INTERNATIONAL ASSOCIATION OF HOLIDAY INNS INN-PAC, and FERGUSON, EVA MS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

**AF#2328** Decided by a vote of 6-0 to: (1) find reason to believe that INTERNATIONAL SECURITIES RXCHANGE PAC, and COOPERMAN, BRUCE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGainn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2329 Decided by a vote of 6-0 to: (1) find reason to believe that IUOE OPERATING ENGINEERS, and JAMES JONES as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

**AF#2330** Decided by a vote of 6-0 to: (1) find reason to believe that MAJORITY INITIATIVE TO KEEP ELECTING REPUBLICANS FUND A.K.A MIKE R FUND, ٠٠.

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Federal Election Commission®
Certification for Administrative Fines
March 11, 2011

and CARLIN, ROBERT F as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would lie the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2331 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL ASSOCIATION FOR UNIFORMED SERVICES PAC, and HARRIS, MICHAEL F. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2332 Decided by a vote of 6-0 to: (1) find reason to believe that NATIONAL ASSOCIATION OF MORTGAGE BROKERS, and RIESTERER, RYAN C. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2333 Decided by a vote of 6-0 to: (1) find reason to believe that NEW ASURION CORP. EMPLOYEES FEDERAL POLITICAL ACTION COMMITTEE, and AIN, MIKE as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2334 Decided by a vote of 6-0 to: (1) find reason to believe that NEWFIELD EXPLORATION COMPANY POLITICAL ACTION COMMITTEE (NEWFIELD PAC), and RIGGS, SUSAN G. MRS. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauorly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2335 Decided by a vote of 6-0 to: (1) find reason to believe that PMI MORTGAGE INSURANCE COMPANY FEDERAL PAC, and CHANG, RAY as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2336 Decided by a vote of 6-0 to: (1) find reason to believe that SEABOARD CORPORATION POLITICAL ACTION COMMITTEE, and DAVID M DANNOV as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate

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March 11, 2011

letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2337 Decided by a vote of 6-0 to: (1) find reason to believe that STINSON MORRISON HECKER POLITICAL ACTION COMMITEE PAC, and PHILLIP L FRAAS as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2338 Decided by a vote of 6-0 to: (1) find reason to believe that SUFFOLK COUNTY DETECTIVES ASSN INC., POLITICAL ACTION COMMITTEE, and WILLIAM RATHJEN, TREASURER as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2339 Decided by a vote of 6-0 to: (1) find reason to believe that THE RECORDING INDUSTRY ASSOCIATION OF AMERICA POLITICAL ACTION COMMITTEE, and GLAZIER, MITCH as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2340 Decided by a vote of 6-0 to: (1) find reason to believe that UNITEDHEALTH GROUP INCORPORATED PAC (UNITED FOR HEALTH), and SHERWOOD SUSAN as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2341 Decided by a vote of 6-0 to: (1) find reason to believe that WATERPAC-NATIONAL RURAL WATER ASSOCIATION POLITICAL COMMITTEE, and JOHNSON, ROBERT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintranb voted affirmatively for the decision.

AF#2342 Decided by a vote of 6-0 to: (1) find reason to believe that WESTERN ENERGY ALLIANCE PAC, and SGAMMA, KATHLEEN M as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Federal Election Commission Certification for Administrative Fines March 11, 2011

Marw 14, 2011 Date

Attest:

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Secretary and Clerk of the Commission



March 14, 2011

Susan G. Riggs, in official capacity as Treasurer
Newfield Exploration Company Political Action Committee (NEWFIELD PAC)
363 N. Sam Houston Pkwy, E., Suite 2020
Houston, TX 77060

C00443523 AF#: 2334

Dear Ms. Riggs:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a 12 Day Pre-General Report of Receipts and Disbursements in a calendar year during which there is a regularly scheduled election. This report is required if your committee makes a contribution to or expenditure on behalf of a candidate in the general election. This report, covering the period through October 13, 2010, shall be filed no later than October 21, 2010. 2 U.S.C. § 434(a). Because records at the Federal Election Commission ("FEC") indicate that this report was not filed prior to four (4) days before the General Election held on November 2, 2010, it is considered not filed for the purpose of calculating the civil money penalty.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On March 11, 2011, the FEC found that there is reason to believe ("RTB") that Newfield Exploration Company Political Action Committee (NEWFIELD PAC) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before October 21, 2010. Based on the FEC's schedules of civil money penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$1,400. Please see the attached copy of the Commission's administrative fine regulations at 11 C.F.R. §§ 111.30-111.46. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <a href="http://www.fec.gov/af/af.slitml">http://www.fec.gov/af/af.slitml</a>. 11 C.F.R. § 111.34. Your payment of \$1,400 is due within forty (40) days of the finding, or by April 20, 2011, and is based on these factors:

Election Sensitivity of Report: Election Sensitive

Level of Activity: \$42,500

Number of Days Late: Not Filed (reports not filed prior to four (4) days before the General Election held on November 2, 2010 are considered not filed for the purpose of calculating the civil money penalty)

Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

#### 1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to fine FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the Commission's RTB finding, or April 20, 2011. 11 C.F.R. § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 C.F.R. § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasunably unforeseen circumstances that were beyond your control. 11 C.F.R. § 111.35(b). In order for a challenge to be considered on the basis of best afforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. Id. Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to, (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 111.35(r). Examples of eircumstances that will not be considered measurably unforeseen and beyond your control include, but are not limited to, (1) negligence; (2) delays eaused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 C.F.R. § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 C.F.R. § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

#### 2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

if you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that Newfield Exploration Company Political Action Committee (NEWFIELD PAC) and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 et seq. The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Dopartment of the Treasury for collection. 11 C.F.R. § 111.51(a)(2).

#### 3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 C.F.R. § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <a href="http://www.fec.gov/af/af.shtml">http://www.fec.gov/af/af.shtml</a>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 6, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,

Cynthia L. Bauerly

Chair

#### **ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS**

In accordance with the schedule of penalties at 11 C.F.R. § 111.43, the amount of your civil money penalty calculated at RTB is \$1,400 for the 2010 Pre-General Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

P.O. Box 979058 St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox FEC #979058 1005 Convention Plaza Attn: Government Lockbox, SL-MO-C2GL St. Louis, MO 63101

The remittance and your payment are due by April 20, 2011. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

#### PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

#### PLEASE DETACH AND BETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Newfield Exploration Company Political Action Committee (NEWFIELD PAC)

FEC ID#: C00443523

AF#: 2334

PAYMENT DUE DATE: April 20, 2011

PAYMENT AMOUNT DUE: \$1,400

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#### FEDERAL ELECTION COMMISSION WASHINGTON, D.C. 20463

June 21, 2011

#### **MEMORANDUM**

TO:

The Commission

THROUGH:

Alec Palmer

**Acting Staff Director** 

FROM:

Patricia Carmona

Chief Compliance Officer

Debbie Chacona AC **Assistant Staff Director** Reports Analysis Division

BY:

Jodi Winship/Sari Pickerall

Compliance Branch

SUBJECT:

Administrative Fine Program - Final Determination Recommendation for the 2010 12 Day Pre-General Report (Unauthorized Committees-Quarterly Filers)

Attached are two lists of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2010 12 Day Pre-General Report (Unauthorized Committees-Quarterly Filers). The first list represents the committees that have paid the civil money penalty and the second list represents the committees that have not paid the civil money penalty. The committees that have not paid have been given at least forty (40) days from the date of the Commission's RTB finding to rearit payment.

In accordance with 11 CFR § 111.34 and 11 CFR § 111.40, the Commission shall send a final determination notice to those respondents that have either paid or not paid the civil money penalty.

For your information, Indiana Dental PAC (AF 2326) filed an amended 2010 30 Day Post-General Report which included the coverage dates for the 12 Day Pre-General Report (10/1/2010 to 10/13/2010) after the RTB finding and disclosed an actual level of activity which would result in a lowered civil money penalty than that assessed at RTB. The committee disclosed total receipts and disbursements of \$2,768 (previously estimated to be \$5,768), thus the fine would be lowered from \$660 to \$550.

#### **RAD** Recommendation

(1) Make final determination that the political committees and their treasurers listed on the attached reports violated 2 U.S.C. § 434(a) and assess the final civil money penalties so indicated.

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(2) Send the appropriate letters.

# Federal Election Commission FD Circulation Report Fine Paid 2010 PRE-GENERAL Election Sensitive 10/21/2010 UNAUTH\_Q

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Amount Patd	\$687	8999	\$320	\$687	\$1,400	\$680	\$550.	\$852	\$550	\$1,400	\$550	\$860	\$660	\$990	.098\$	\$860
Date Paid	04/25/2011	04/22/2011	04/18/2011	04/19/2011	04/19/2011	03/31/2011	04/19/2011	04/21/2011	03/22/2011	Q5/18/2011	03/25/2011	04/19/2011	04/04/2011	04/18/2011	04/14/2011	04/11/2011
Final Money Penalty	\$687	\$550	. \$550	\$687	\$1,400	\$680	\$550	\$825	. \$560	\$1,400	\$550.	\$860	\$660	068\$	\$660	\$660
RTB Penalty Final Money Penalty	\$687	\$550		. \$687	\$1,400	\$660	\$550	\$825	\$550	\$1,400	\$550	\$660	\$660	\$390	\$660	\$660
RTB Date	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011	U3/11/2011	03/11/2011	03/11/2011	03/11/2011	03/11/2011
Æ	-	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0
LOA	\$3,612	\$3,338	\$1,000	\$1,396	\$39,600	\$7,606	\$2,203	\$7,679	\$4,500	\$25,180	\$3,379	\$6,100	\$9,640	\$18,574	\$9,500	\$7,102
Days Late	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filad	Not Filed	Nat Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed
Receipt Date Days Late	01/28/2011	11/29/2010	12/10/2010	12/07/2010	12/28/2010	11/30/2010	12/02/2010	12/02/2010	11/29/2010	12/02/2010	12/06/2010	1Z/08/Z010	01/31/2011	12/81/2010	01/04/2011	12/03/2010
Treasurer	DAVID DODSON	KATHRYN J. BARNETT	TRACY TODD	MICHELLE LYNN CHANDLER	JOSEPH P. DWYER	TOHN KOENIG	LANNY GRIFFITH	ERNIE PEREZ	M. B. OGLESBY, JR.	MARY LOVEALL	LEIF E. NOREN	ROBERT MUCCILO	RALPH J. STEPHENS	RODERICK A. DEARMENT. ESQ.	JOSEPH N. MARIANO	THOMAS MORAN
Committee ID	C00392290	C00132092	C00198259	C00161570	C00177741	C00395947	C00359588	C00415752	C00201863	C00455890	C00218172	C00407635	C00480228	C00462630	C00078535	C00380303
Candidate Neme																
Committee Name	ACE CASH EXPRESS INC PAC	ALLIANT ENERGY CORPORATION EMPLOYEE'S POLITICAL ACTION COMM	AMERICAN ASSN. FOR MARRIAGE & FAMILY THERAPY COMM. ADVNCMNT OF MARITAL & FAMILY THERAPY	AMERICAN PUBLIC POWER ASSOCIATION, PUBLIC OWNERSHIP OF ELECTRIC RESOURCES PAC	ASPLUNDH TREE EXPERT CO POLITICAL ACTION COMMITTEE (ATE PAC)	BARNES & THORNBURG POLITICAL ACTION COMMITTEE	BGR PAC	BORDER HEALTH FEDERAL PAC	BURSON- MARSTELLERYOUNG & RUBICAM/PRIME POLICY GROUP POLITICAL ACTION COMMITTEE	COMMONWEALTH BRANDS INC. EMPLOYEE PAC	CONSERVATIVE VICTORY COMMITTEE	CONSOLIDATED EDISON INC EMPLOYEES' POLITICAL ACTION COMMITTEE (CEIPAC)	CONTINUING AMERICA'S STRENGTH AND SECURITY DAC	COVINGTON AND BURLING	DIRECT SELLING ASSOCIATION POLITICAL ACTION COMMITTEE	EMERGENT BIOSOLUTIONS INC EMPLOYEES PAC
AF#	2304	2302	2303.	2305	2308	2307	2308	2309	2311	2313	2314	2315	2316	2317	2318	2319

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AF#	Committee Name	Candidate Name	Committee ID	Teasurer	Receipt Date	Days Late	Pol	<b>≧</b>	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid	
2320	EXPEDIA INC POLITICAL ACTION COMMITTEE		C00462879	BRENT THOMPSON	12/07/2010	Not Filed	\$1,012	0	03/11/2011	\$550	\$550	03/28/2011	\$550	
2321	FAMILY-PAC FEDERAL		C0036Z178	D. PAUL CAPRIO	12/27/2010	Not Filed	\$7,331	-	03/11/2011	\$825	\$825	04/14/2011	\$825	
2322	FULTON FINANCIAL CORPORATION PAC		C00400317	MICHAEL J. DEPORTER	12/23/2010	Not Filed	\$5,208	•	03/11/2011	0998	099\$	04/18/2011	\$660	
2323	GRUTCHER LLP PAC		C00344754	CHARLES E. WOODHOUSE	12/01/2010	Not Filed	\$5,000	0	03/11/2011	\$660	\$660	04/01/2011	\$660	
2224	HEARPAC OF HEARING INDUSTRIES ASSOCIATION		C00437798	JEROME RUZICKA	01/04/2011	Not Filed	\$3,500	•	03/11/2011	\$550	\$550	04/19/2011	\$550	
2325	ILLINOIS POLITICAL ACTIVE LETTER CARRIERS		C00264689	JACK HENIFF	11/24/2010	Not Filed	\$5,411	•	03/11/2011	\$660	\$860	03/24/2011	\$660	
2327	INTERNATIONAL ASSOCIATION OF HOLIDAY INNS INN-PAC		C00084822	EVAFERGUSON	12/02/2010	Not Filed	\$6,755	•	03/11/2011	\$660	099\$	04/05/2011	099\$	
2328	INTERNATIONAL SECURITIES EXCHANGE PAC		C00352226	BRUCE COOPERMAN	01/05/2011	Not Filed	\$10,500	a	03/11/2011	066\$	088\$	U3/17/2011	0E4\$	
2329	IUOE OPERATING ENGINEERS		C00136739	JAMES JONES	12/08/2010	Not Filed	\$43,040	G	03/11/2011	\$1,400	\$1,400	042122011	\$1,400	
2330	MAJORITY INITIATIVE TO KEÉP ELECTING REPUBLICANS FUND A.K.A MIKE R.FUND		C00370791	ROBERT F. CARLIN	12/02/2010	Ncit Filed	\$32,194	0	03/11/2011	\$1,400	\$1,400	03/24/2011	\$1,400	
2331	NATIONAL ASSOCIATION FOR UNIFORMED SERVICES: PAC		C00086348	MICHAEL F. HARRIS	11/30/2010	Not Filed	\$4,884	1	03/11/2011	2687	1894	08/13/2011	2882	
2322	NATIONAL ASSOCIATION OF MORTGAGE BROKERS		C00254201	RYAN C. RIESTERER	12/02/2010	Not Filed	\$5,000	0	03/11/2011	\$660	\$660	04/21/2011	\$660	
2333 100	NEW ÁSURION CORP. EMPLÓYEES FEDERAL POLITICAL ACTION COMMITTEE		C00450916	MIKE AIN	12/02/2010	Not Filed	\$2,500	0	03/11/2011	\$550	\$550	04/19/2011	\$550.	
2334	NEWFIELD EXPLORATION COMPANY POLITICAL ACTION COMMITTEE (NEWFIELD PAC)		C00443523	SUSAN G. RIGGS	12/01/2010	Not Filed	\$42,500	0	03/11/2011	\$1,400	\$1,400	04/18/2011	\$1,400	
2336	SEABOARD CORPORATION POLITICAL ACTION COMMITTEE		C00246736	DAVID M. DANNOV	12/29/2010	Not Filed	\$2,439	. 0	03/11/2011	\$550	\$550	04/22/2011	\$550	
2337	STINSON MORRISON HECKER POLITICAL ACTION COMMITEE PAC		C00459065	PHILLIP L. FRAAS	12/08/2010	Not Filed	\$2,250	. 0	03/11/2011-	\$550	\$550	04/14/2011	\$550	
2336	SUFFOLK COUNTY DETECTIVES ASSN INC., POLITICAL ACTION COMMITTEE		C00278788	WILLIAM RATHJEN	12/26/2010	Not Filed	\$15,961	1	03/11/2011	\$1,237	\$1,237	04/18/2011	\$1,237	
2339	THE RECORDING INDUSTRY ASSOCIATION OF AMERICA POLITICAL ACTION COMMITTEE		298800000	MITCH GLAZIER	01/10/2011	Not Filed	\$17,500	0	03/1/2011	\$990	\$990	04/18/2011	\$890	
2340	UNITEDHEALTH GROUP INCORPORATED PAC (UNITED FOR HEALTH)		C00274431	SUSAN SHERWOOD	12/06/2010	Not Filed	\$36,619	0	03/11/2011	\$1,400	\$1,400	03/30/2011	\$1,400	

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3.3.4.9 621/2011 1:03 PM	Amount Paid	099\$
	Date Paid	04/20/2011
	Final Money Penalty	\$660
	PV RTB Date RTB Penalty Final Money Date Paid Penalty	\$860
	RTB Date	0 03/11/2011
67	λd	0
11092670149	LOA	\$17,853
	Days Late	Not Filed
	Receipt Date Days Late LOA	12/02/2010 Not Filed \$17,853
	Treasurer	ROBERT JOHNSON
	Committee	C00202184
	Candidate Committee	
	Committee Name	WATERPAC - NATIONAL RURAL WATER ASSOCIATION POLITICAL COMMITTEE
gaje Radij	AF#	2341

Page 3 of 3

#### BEFORE THE FEDERAL ELECTION COMMISSION

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In the Matter of	)
Administrative Fine Program - Final	)
Determination Recommendation for the	)
2010 12 Day Pre-General Report	)
(Unauthorized Committees-Quarterly	)
Filers):	)
ACE CASH EXPRESS INC PAC, and	) AF# 2301
DAVID DODSON as treasurer;	)
ALLIANT ENERGY CORPORATION	) AF# 2302
EMPLOYEE'S POLITICAL ACTION	)
COMM, and BARNETT, KATHRYN J as	)
treasurer;	) .
AMERICAN ASSN. FOR MARRIAGE &	) AF# 2303
FAMILY THERAPY COMM.	)
ADVNCMNT OF MARITAL & FAMILY	)
THRRAPY, and TODD, TRACY as	)
treasurer;	)
AMERICAN PUBLIC POWER	) AF# 2305
ASSOCIATION, PUBLIC OWNERSHIP	)
OF ELECTRIC RESOURCES PAC, and	)
CHANDLER, MICHELLE LYNN as	)
treasurer;	)
ASPLUNDH TREE EXPERT CO	) AF# 2306
POLITICAL ACTION COMMITTEE	)
(ATE PAC), and DWYER, JOSEPH P as	)
treasurer;	)
BARNES & THORNBURG POLITICAL	) AF# 2307
ACTION COMMITTEE, and KOENIG,	)
JOHN as treasurer;	)
BORDER HEALTH FEDERAL PAC, and	) AF# 2309
PEREZ, ERNIE as treasurer;	)
BURSON-MARSTELLER/YOUNG &	) AF# 2311
RUBICAM/PRIME POLICY GROUP	)
POLITICAL ACTION COMMITTEE, and	)
M. B. OGLESBY JR. as treasurer;	)
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#### Federal Election Commission Certification for Administrative Fines June 23, 2011

COMMONWEALTH BRANDS INC.	) AF# 231	3
EMPLOYEE PAC, and MARY, LOVEALL	)	
as treasurer;	)	
CONSERVATIVE VICTORY	) AF# 231	4
COMMITTEE, and LEIF E NOREN as	)	
treasurer;	)	
CONSOLIDATED EDISON INC	) AF# 231	5
EMPLOYEES' POLITICAL ACTION	)	
COMMITTEE (CEIPAC), and MUCCILO,	)	
ROBERT as treasurer;	)	
CONTINUING AMERICA'S STRENGTH	) AF# 231	6
AND SECURITY PAC, and STEPHENS,	)	
RALPH J. as treasurer;	)	
COVINGTON AND BURLING LLP PAC,	) AF# 231	7
and DEARMENT, RODERICK A. ESQ. as	)	
treasurer;	)	
DIRECT SELLING ASSOCIATION	) AF# 231	8
POLITICAL ACTION COMMITTEE, and	)	
MARIANO, JOSEPH N. as treasurer;	)	
EMERGENT BIOSOLUTIONS INC	) AF# 231	9
EMPLOYEES PAC, and MORAN,	)	
THOMAS as treasurer;	)	
EXPEDIA INC POLITICAL ACTION	) AF# 232	0
COMMITTEE, and BRENT THOMPSON	)	
as treasurer;	)	
FAMILY-PAC FEDERAL, and D PAUL	) AF# 232	1
CAPRIO as treasurer;	)	
FULTON FINANCIAL CORPORATION	) AF# 232	.2
PAC, and MICHAEL J DEPORTER as	)	
treasurer;	) .	
GIBSON DUNN & CRUTCHER LLP	) AF# 232	:3
PAC, and CHARLES E WOODHOUSE an	)	
treamner;	)	
HEARPAC OF HEARING INDUSTRIES	) AF# 232	4
ASSOCIATION, and RUZICKA, JEROME	)	
as treasurer;	)	
ILLINOIS POLITICAL ACTIVE LETTER	) AF# 232	25
CARRIERS, and HENIFF, JACK MR. as	)	
treasurer;	)	
INDIANA DENTAL PAC, and MADDOX,	) AF# 232	26
RAYMOND as treasurer;	)	
INTERNATIONAL ASSOCIATION OF	) AF# 232	27
HOLIDAY INNS INN-PAC, and	•	
FERGUSON, EVA MS as treasurer;	)	

	•
INTERNATIONAL SECURITIES	AF# 2328
EXCHANGE PAC, and COOPERMAN,	
BRUCE as treasurer;	
IUOE OPERATING ENGINEERS, and	) AF# 2329
JAMES JONES as treasurer;	)
MAJORITY INITIATIVE TO KEEP	) AF# 2330
ELECTING REPUBLICANS FUND A.K.A	)
MIKE R FUND, and CARLIN, ROBERT F	)
as treasurer;	)
NATIONAL ASSOCIATION FOR	) AF# 2331
UNIFORMED SERVICES PAC, and	)
HARRIS, MICHAEL F. as treasurer;	)
NATIONAL ASSOCIATION OF	) AF# 2332
MORTGAGE BROKERS, and	)
RIESTERER, RYAN C. as treasurer;	)
NEW ASURION CORP. EMPLOYEES	) AF# 2333
FEDERAL POLITICAL ACTION	)
COMMITTEE, and AIN, MIKE as	)
treasurer;	)
NEWFIELD EXPLORATION COMPANY	) AF# 2334
POLITICAL ACTION COMMITTEE	)
(NEWFIELD PAC), and RIGGS, SUSAN	)
G. MRS. as treasurer;	)
PMI MORTGAGE INSURANCE	) AF# 2335
COMPANY FEDERAL PAC, and	)
CHANG, RAY as treasurer;	)
SEABOARD CORPORATION	) AF# 2336
POLITICAL ACTION COMMITTEE, and	)
DAVID M DANNOV as treasurer;	)
STINSON MORRISON HECKER	) AF# 2337
POLITICAL ACTION COMMITEE PAC,	)
and PHILLIP L FRAAS as treasurer;	)
SUFFOLK COUNTY DETECTIVES	) AF# 2338
ASSN INC., POLITICAL ACTION	)
COMMITTEE, and WILLIAM RATHJEN,	)
TREASURER as treasurer;	)
THE RECORDING INDUSTRY	) AF# 2339
ASSOCIATION OF AMERICA	)
POLITICAL ACTION COMMITTEE, and	)
GLAZIER, MITCH as treasurer;	)
UNITEDHEALTH GROUP	) AF# 2340
INCORPORATED PAC (UNITED FOR	)
HEALTH), and SHERWOOD SUSAN as	)
treasurer;	}

Federal Election Commission
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WATERPAC - NATIONAL RURAL	)	AF# 234
WATER ASSOCIATION POLITICAL	)	
COMMITTEE, and JOHNSON, ROBERT	)	
as treasurer;	)	

#### **CERTIFICATION**

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election
Commission, do hereby certify that on June 23, 2011 the Commission took the
following actions on the Administrative Fine Program - Final Determination
Recommendation for the 2010 12 Day Pre-General Report (Unauthorized
Committees-Quarterly Filers) as recommended in the Reports Analysis Division's
Memorandum dated June 21, 2011, on the following committees:

AF#2301 Decided by a vote of 6-0 to: (1) make a final determination that ACE CASH EXPRESS INC PAC, and DAVID DODSON as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2302 Decided by a vote of 6-0 to: (1) make a final determination that ALLIANT ENDRGY CORPORATION EMPLOYEE'S POLITICAL ACTION COMM, and BARNETT, KATHRYN J as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2303 Decided by a vote of 6-0 to: (1) make a final determination that AMERICAN ASSN. FOR MARRIAGE & FAMILY THERAPY COMM. ADVNCMNT OF MARITAL & FAMILY THERAPY, and TODD, TRACY as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2305 Decided by a vote of 6-0 to: (1) make a final determination that AMERICAN PUBLIC POWER ASSOCIATION, PUBLIC OWNERSHIP OF

Certification for Administrative Fines June 23, 2011

ELECTRIC RESOURCES PAC, and CHANDLER, MICHELLE LYNN as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the apprepriate letter. Commissioners Esserly, Hunter, McGahn II, Petersen. Walther. and Weintraub voted affirmatively for the decision.

AF#2306 Decided by a vote of 6-0 to: (1) make a final determination that ASPLUNDH TREE EXPERT CO POLITICAL ACTION COMMITTEE (ATE PAC), and DWYER, JOSEPH P as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2307 Decided by a vote of 6-0 to: (1) make a final determination that BARNES & THORNBURG POLITICAL ACTION COMMITTEE, and KOENIG, JOHN as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen. Walther, and Weintraub voted affirmatively for the decision.

AF#2309 Decided by a vote of 6-0 to: (1) make a final determination that BORDER HEALTH FEDERAL PAC, and PEREZ, ERNIE as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGalm II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that AF#2311 BURSON-MARSTELLER/YOUNG & RUBICAM/PRIME POLICY GROUP POLITICAL ACTION COMMITTEE, and M. B. OGLESBY JR. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2313 Decided by a vote of 6-0 to: (1) make a final determination that COMMONWEALTH BRANDS INC. EMPLOYEE PAC, and MARY, LOYEALL as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated: (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

**AF#2314** Decided by a vote of 6-0 to: (1) make a final determination that CONSERVATIVE VICTORY COMMITTEE, and LEIF E NOREN as treasurer. violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that AF#2315 CONSOLIDATED EDISON INC EMPLOYEES' POLITICAL ACTION COMMITTEE Federal Election Commission
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(CEIPAC), and MUCCILO, ROBERT as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McChihn II, Petersen, Waither, and Winitreub voted affirmatively for the decision.

AF#2316 Decided by a vote of 6-0 to: (1) make a final determination that CONTINUING AMERICA'S STRENGTH AND SECURITY PAC, and STEPHENS, RALPH J. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2317 Decided by a vote of fi-0 to: (1) make a final differmination that COVINGTON AND BURLING LLP PAC, and DEARMENT, RODERICK A. ESQ. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2318 Decided by a vote of 6-0 to: (1) make a final determination that DIRECT SELLING ASSOCIATION POLITICAL ACTION COMMITTEE, and MARIANO, JOSEPH N. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the apprepriate letter. Commissioners Bauerly, Hunter, McGahn fl, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2319 Decided by a vote of 6-0 to: (1) make a final determination that EMERGENT BIOSOLUTIONS INC EMPLOYEES PAC, end MORAN, THOMAS as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2320 Decided by a vote of 6-0 to: (1) make a final determination that EXPEDIA INC POLITICAL ACTION COMMITTEE, and BRENT THOMPSON as treasurer, violated 2 U.S.C. 434(a) and assess the final civit money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2321 Decided by a vote of 6-0 to: (1) make a final determination that FAMILY-PAC FEDERAL, and D PAUL CAPRIO as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2322 Decided by a vote of 6-0 to: (1) make a finel determination that FULTON FINANCIAL CORPORATION PAC, and MICHAEL J DEPORTER as treasurer, violated 2 IJ.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send

Federal Election Commission Certification for Administrative Fines June 23, 2011

the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weihitaub voted affirmatively for the decision.

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Decided by a vote of 6-0 to: (1) make a final determination that GIBSON **AF#2323** DUNN & CRUTCHER LLP PAC, and CHARLES E WOODHOUSE as treasurer. violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that HEARPAC OF HEARING INDUSTRIES ASSOCIATION, and RUZICKA, JEROME as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGaha II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that ILLINOIS AF#2325 POLITICAL ACTIVE LETTER CARRIERS, and HENIFF, JACK MR. as treasurer. violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

**AF#2326** Desided by a vote of 6-0 to: (1) make a final determination that INDIANA DENTAL PAC, and MADDOX, RAYMOND as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that AF#2327 INTERNATIONAL ASSOCIATION OF HOLIDAY INNS INN-PAC, and FERGUSON, EVA MS as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weihtrand voted affirmatively for the decision.

**AF#2328** Decided by a vote of 6-0 to: (1) make a final determination that INTERNATIONAL SECURITIES EXCHANGE PAC, and COOPERMAN, BRUCE as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that IUOE AF#2329 OPERATING ENGINEERS, and JAMES JONES as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letten Commissioners Bauerly, Hunter, McGahn II, Petersen, Walthur, and Weintraub voted affirmatively for the decision.

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AF#2330 Decided by a vote of 6-0 to: (1) make a final determination that MAJORITY INITIATIVE TO KEEP IILECTING REPUBLICANS FUND A.K.A MIKE R FUND, and CARLIN, ROBERT F as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money parally so indicated; (2) sond the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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AF#2331 Decided by a vote of 6-0 to: (1) make a final determination that NATIONAL ASSOCIATION FOR UNIFORMED SERVICES PAC, and HARRIS, MICHAEL F. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Paterson, Walther, and Weintraub voted affirmativoly for the decision.

AF#2332 Decided by a vote of 6-0 to: (1) make a final determination that NATIONAL ASSOCIATION OF MORTGAGE BROKERS, and RIESTERER, RYAN C. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2333 Decided by a vote of 6-0 to: (1) make a final determination that NEW ASURION CORP. EMPLOYEES FEDERAL POLITICAL ACTION COMMITTEE, and AIN, MIKE as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) soul the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2334 Decided by a vote of 6-0 to: (1) make a final determination that NEWFIELD EXPLORATION COMPANY POLITICAL ACTION COMMITTEE (NEWFIELD PAC), and RIGGS, SUSAN G. MRS. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2335 Decided by a vote of 6-0 to: (1) make a final determination that PMI MORTGAGE INSURANCE COMPANY FEDERAL PAC, and CHANG, RAY as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2336 Decided by a vote of 6-0 to: (1) make a final determination that SEABOARD CORPORATION POLITICAL ACTION COMMITTEE, and DAVID M DANNOV as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

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**Federal Election Commission** Certification for Administrative Fines June 23, 2011

AF#2337 Decided by a vote of 6-0 to: (1) make a final determination that STINSON MORRISON HECKER POLITICAL ACTION COMMITEE PAC, and PHILLIP L FRAAS as treasurer, violated 2 U.S.C. 434(a) and assess the final civil moany penalty so indicated; (2) sead the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that **AF#2338** SUFFOLK COUNTY DETECTIVES ASSN INC., POLITICAL ACTION COMMITTEE, and WILLIAM RATHJEN, TREASURER as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that THE AF#2339 RECORDING INDUSTRY ASSOCIATION OF AMERICA POLITICAL ACTION COMMITTEE, and GLAZIER, MITCH as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

AF#2340 Dacided by a vete of 6-0 to: (1) make a final determination that UNITEDHEALTH GROUP INCORPORATED PAC (UNITED FOR HEALTH), and SHERWOOD SUSAN as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Bauerly, Hunter, McGahn II, Petersen, Walther, and Weintraub voted affirmatively for the decision.

Decided by a vote of 6-0 to: (1) make a final determination that **AF#2341** WATERPAC - NATIONAL RURAL WATER ASSOCIATION POLITICAL COMMITTEE, and JOHNSON, ROBERT as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Banerly, Hunter, McGehn II, Petersen, Walther, and Weintraus voted affirmatively for the decision.

Attest:

Secretary and Clerk of the Commission



June 24, 2011

Susan G. Riggs, in official capacity as Treasurer
Newfield Exploration Company Political Action Committee (NEWFIELD PAC)
363 N. Sam Houston Pkwy., E., Suite 2020
Houston, TX 77060

C00443523 AF#: 2334

Dear Ms. Riggs:

On March 11, 2011, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Newfield Exploration Company Political Action Committee (NEWFIELD PAC) and its treasurer violated 2 U.S.C. § 434(a) for filing late or failing to file the 2010 Pre-General Report. By letter dated March 14, 2011, the Commission sent notification of the RTB finding that included a civil money penalty calculated at the RTB stage of \$1,400 in accordance with the schedule of penalties at 11 C.F.R. § 111.43.

On April 18, 2011, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on June 23, 2011 that you, in your official capacity as treasurer, and Newfield Exploration Company Political Action Committee (NEWFIELD PAC) violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$1,400 in accordance with 11 C.F.R. § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 C.F.R. § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

Cynthia L. Bauerly

Chair

. FOR: Newfield Exploration Company Political Action Committee (NEWFIELD PAC)

FEC ID#: C00443523

AF#: 2334

PAYMENT DUE DATE: April 20, 2011

PAYMENT AMOUNT DUE: \$1,400

### QUALITY IS OUR PRIORITY FOR LOCKBOX 9058 SEQ# 006 \$ 0000140000 BA# 1 04-18-11 20 4



	d Exploration Company m Houston Pixwy E, Suite 100 TX 77080	Walls Pargo Banti, N.A. 115 Hospital Delve Van Wert CH146891 88 362 412	No. 1120554
		DATE AMOUNT Apr 14, 2011 1,400.00	
"One Thous	and Four Handred Dollars And Zoos Cents**	Y VOID AFTER 801	MAS
PAY TO THE ORDER OF	FEDERAL ELECTION COMMISSION PO BOX 979058 ST LOUIS,MO 63197-9000	Accord dynamy of said over \$50 - Awfortness to Product to	



## FEDERAL ELECTION COMMISSION Washington DC 20463

THIS IS THE END OF	ADMINISTRATIVE FINE CASE # 2334	+
DATE SCANNED	9/9/11	
SCANNER NO.		
SCAN OPERATOR	<u> </u>	

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